

#2

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
Immunosonic-004

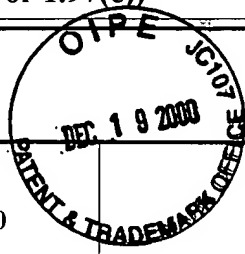
In Re Application Of: **Hunter, et al**

Serial No.
09/707,582

Filing Date
6 November 2000

Examiner

Group Art Unit



Title:

**The Use of Beta 1,3 - Glucan - Containing Compositions to Potentiate Immune Responses by
Upregulating the Expression of Costimulatory Molecules**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-2166 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on

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Signature _____

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Signature of Person Mailing Correspondence _____

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*This certificate may only be used if paying by deposit account.

William P Ramey, III
Signature

Dated: 12/19/00

William P. Ramey, III
Reg. # 44,295
The Matthews Firm
1900 West Loop South, Suite 1800
Houston, Texas 77027
713-355-4200 Telephone
713-355-9689 Facsimile

CC:

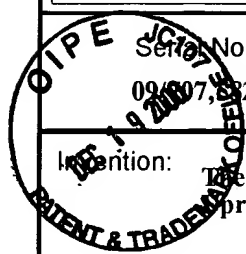
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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Docket No.

Applicant(s): Hunter, et al

Immasonic-004



Serial No.

09/07/02

Filing Date

6 November 2000

Examiner

Group Art Unit

Invention:

The Use of Beta 1,3 - Glucan - Containing Compositions to Potentiate Immune Responses by
upregulating the Expression of Costimulatory Molecules

I hereby certify that the following correspondence:

Transmittal Letter and all documents referenced therein

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

19 December 2000

(Date)

Matt Robinson

(Typed or Printed Name of Person Mailing Correspondence)

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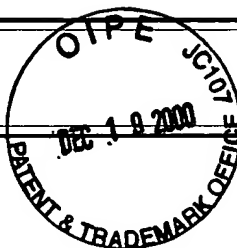
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TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
Immusonic-004

In Re Application Of: Hunter, et al



Serial No.
09/707,582

Filing Date
6 November 2000

Examiner

Group Art Unit

Title:

The Use of Beta 1,3 - Glucan - Conatining Compositions to Potentiate Immune Responses by Upregulating the Expression of Costimulatory Molecules

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Petition to Make Special Because of Relation to Biotechnology; Declaration in Support of Petition to Make Special; Check # 14027, in the amount of \$130.00; Assignment & Recordation Cover Sheet; Check # 14026, in the amount of \$40.00; Transmittal of Information Disclosure Statement; Information Disclosure Statement; Form PTO-1449; Cert. of Mailing by Express Mail (#EL617998936US); & Response Postal Card

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$170.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-2166 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

William P Ramey III
Signature

Dated: 12/19/00

William P. Ramey, III
Reg. # 44,295
The Matthews Firm
1900 West Loop South, Suite 1800
Houston, Texas 77027
713-355-4200 Telephone
713-355-9689 Facsimile

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Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC: